JCOB Rec'd PCT/PTO 1 A DCT 2005

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER BIOL0004USA

COI	NCERNING A SUBMISSIC	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)								
	ATIONAL APPLICATION NO. JS04/10946	INTERNATIONAL FILING DATE 04/15/2004	PRIORITY DATE CLAIMED 04/16/2003							
TITLE OF	INVENTION									
MODULATION OF APOLIPOPROTEIN C-III EXPRESSION										
APPLICANT(S) FOR DO/EO/US  Rosanne M. Crooke, Mark J. Graham, Kristina Lemonidis Tarbet, Kenneth W. Dobie										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1.	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31).									
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by	the International Bureau.								
	c. is not required, as the applic	cation was filed in the United States Receiv	ring Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. Li is attached hereto.									
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. Land have been communicated by the International Bureau.									
	c. have not been made; howe	ever, the time limit for making such amendr	ments has NOT expired.							
	d. have not been made and will not be made.									
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	Examination Report under PCT							
Items	ems 11 to 20 below concern document(s) or information included:									
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12.	An assignment document for recording	g. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37 C	FR 1.76.								
15.	A substitute specification.	•	•							
16	A power of attorney and/or change of	address letter.								
17.	A computer-readable form of the sequ	uence listing in accordance with PCT Rule 1	13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Intern	national Application under 35 U.S.C. 154(d)	(4).							
19.	A second copy of the English languag	e translation of the international application	n under 35 U.S.C. 154(d)(4).							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Express Mail: EV 625892821 US; Return Postcard

Page 1 of 2

JC20 Rec'd PCT/PTC 14 DCT 2005

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	J.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER						
	15537		PCT/US04/10946		BIOL0004USA					
	llowing fees have b				\$ 6300 00	PTO USE ONLY				
				\$300	\$ \$300.00					
If International p PCT Article 33	mination fee preliminary examina 33(1)-(4) pns	satisfy provisions of \$100\$200	\$ 200.00							
Search fee (37 C Internatio International Sea	arch fee CFR 1.445(a)(2)) ha onal Searching Aut arch Report prepar ns	\$ 100.00								
	TOTAL OF 21, 22	2 and 23 =	in paper over 100 sheets (ex		\$ 600.00					
sequence lis	ee for specification isting or computer   \$250 for each addit I									
Total Sheets	Extra Sheets	thereof (round up	additional 50 or fraction p to a whole number)	RATE						
226: - 100 =	126 /50 =	. 3		x \$250	\$ 750.00					
Surcharge of \$13	30.00 for furnishing date (37 CFR 1.492		\$							
CLAIMS	NUMB	BER FILED	NUMBER EXTRA	RATE	\$					
Total claims		23 -20=	3	× \$50	\$ 150.00					
Independent clair		3 -3=	0	× \$200	\$ O					
MULTIPLE DEPE	ENDENT CLAIM(S	3) (if applicable)		+ \$360	\$					
Analisant als	u antitu of	27.050	TOTAL OF ABOVE		\$ 1500.00					
Applicant cla	ilms small entity st	atus. See 3/ Crk i	1.27. Fees above are reduce		750.00					
Processing fee o	4 6420 OD for furnic	-bing the English tr	ranslation later than 30 month	SUBTOTAL =	\$ 750.00	J				
	date (37 CFR 1.492		ansiation later than 50 month	is from the earnest +	\$					
				NATIONAL FEE =	\$ 750.00					
Fee for recording by an appropriate	the enclosed assi e cover sheet (37 (	ignment (37 CFR 1. CFR 3.28, 3.31). \$40	.21(h)). The assignment mus 40.00 per property	st be accompanied +	\$					
			TOTAL FI	EES ENCLOSED =	\$ 750.00					
		<del> </del>			Amount to be refunded:	\$				
					Amount to be charged:	\$750.00				
a. A check in the amount of \$to cover the above fees is enclosed.										
b. 🗹 Please										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500252. A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an	n appropriate time	e limit under 37 CF	rd information and authorizat FR 1.495 has not been met n to pending status.		(37 CFR 1.137(a) or (b))	must be filed				
			i to pending status.	~						
	RESPONDENCE 1	Fare	P Puller							
	ıller	03 c	<u> </u>	SIGNATURE	$\mathcal{O}$					
Knobbe	Martens	ey, Ph.D.								
550 Wes	st C St									
San Die	go, CA 9:	ON NUMBER								